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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Massingill et al.  
Serial No.: 09/997,589  
Filed: November 29, 2001  
For: MULTI-CHIP MODULE AND  
METHOD FOR FORMING AND  
METHOD FOR DEPLATING  
DEFECTIVE CAPACITORS  
Group Art Unit: 1752  
Confirmation No.: 6199  
Customer No.: 23422  
Atty Docket No.: 25916-0162 (6136/53804)

**CERTIFICATE OF MAILING**  
(37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

August 27, 2002  
Date

Linda Henson

*Linda Henson*  
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**TC 1700**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application. Consequently, pursuant to 37 C.F.R. §1.97, no fee or certification is required. However, in the event that the first Office Action was mailed before the mailing of this Statement, the Commissioner is hereby authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 13-0201 to cover this fee.

**Copies of Certain Listed Citations Have Not Been Provided Pursuant to 37 C.F.R. § 1.98(d) Because They Have Been Submitted or Cited in a Parent Case.**

Citations A2, A4, A6, A8, A12-A15, A17-A24, A26-A31, A34, A37, A42, A45-A46, A49, A59-A62, B1 and B2, and C1 and C2 were made of record in prior application Serial No. 09/429,854, filed October 28, 1999, and prior application Serial No. 09/956,605, filed

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September 18, 2001. Accordingly, copies of these references are not attached with this Statement pursuant to 37 C.F.R. §1.98(d). In this regard, M.P.E.P. §609 A(2) states:

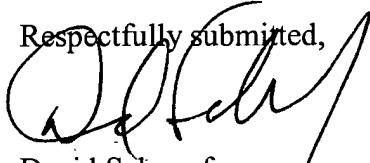
"37 C.F.R. §1.98(d) states that a copy of any patent, publication, or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied on for an earlier filing date under 35 U.S.C. §120."

In an earlier part, M.P.E.P. §609 states the Examiner is to review the parent cases for prior art:

"... the Examiner will consider information cited or submitted to the Office in a parent application when examining a continuation or continuation-in-part application (MPEP §2001.06(b) which is not a file wrapper continuing application ..."

Therefore, since this case is not a file-wrapper-continuing case, copies of the listed references which are not provided herewith will be available to the Examiner in the parent case to be reviewed.

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,  
  
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August 27, 2002

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